

07-02-01

A.

J1093 U.S. PTO  
06/29/01COCHRAN & COLLINS, LLP  
3555 Stanford Road, Suite 230  
Fort Collins, Colorado 80525PATENT APPLICATION  
DOCKET NO.: CENT.01USU1JC979 U.S. PTO  
06/29/01IN THE U.S. PATENT AND TRADEMARK OFFICE  
Patent Application and Fee Transmittal Letter

## ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a(n):

(X) Utility      ( ) Design      (X) original patent application,

## INVENTOR(S):

Robert E. Sutton, 57 Glenmoor Circle, Englewood, Colorado 80110

TITLE: Method for Providing Financial and Risk Management

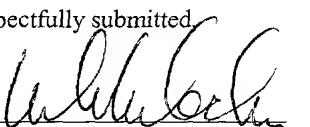
Enclosed are:

(X) Specification 44 pages, including 4 pages claims, 1 page Abstract and 30 pages in 3 Appendices.  
 (X) The Declaration and Power of Attorney. ( ) signed (X) unsigned or partially signed  
 (X) 8 sheets of (X) formal drawings (two sets) ( ) informal drawings (one set)

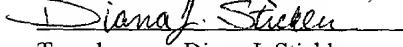
CLAIMS AS FILED BY SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
TOTAL CLAIMS	21	21 - 20 = 1	X \$9	\$9
INDEPENDENT CLAIMS	3	3 - 3 = 0	X \$40	\$0
ANY MULTIPLE DEPENDENT CLAIMS			X \$135	\$0
BASIC FEE: Design ( \$160 ); Utility ( \$355 )				\$355
TOTAL FILING FEE				\$364
OTHER FEES				0
TOTAL CHARGES				\$364

(X) Attached is a check for \$364.00.  
 ( ) Please charge to Deposit Account 50-1491 the amount of \$ \_\_\_\_\_.  
 Please charge any additional required fees or credit any over payment to Deposit Account 50-1491 pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.  
 "Express Mail" label no. EL648664460US

Respectfully submitted

By   
 William W. Cochran  
 Attorney/Agent for Applicant(s)  
 Reg. No.:26,652  
 Date: June 29, 2001  
 Telephone No.: 970-377-6363

Date of Deposit: June 29, 2001  
 I hereby certify that this is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
 Typed name: Diana J. Stickler